

1AP20 Rec'd PCT/PTO 14 FEB 2006

SHEET 1 OF 1

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 285118US0PCT		SECTION 107568423 New U.S. PCT Application Based on PCT/JP04/11156	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Noboru OSHIMA, et al.			
				FILING DATE Herewith		GROUP	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
FT	AO	2002-531648	09/24/02	JP (English abstract only & equivalent of US2003-0120006)		NO	
FT	AP	2002-504172	02/05/02	JP (English abstract only & equivalent of US6265506)		NO	
FT	AQ	2003-160620	06/03/03	JP (English abstract only & equivalent of US2003-0119961)		NO	
FT	AR	11-505877	05/25/99	JP (English abstract only & equivalent of US5677405)		NO	
FT	AS	2001-098035	04/10/01	JP (English abstract only)		NO	
FT	AT	2000-169517	06/20/00	JP (English abstract only)		NO	
FT	AU	2003-040929	02/13/03	JP (English abstract only & equivalent of US2004-0063873)		NO	
	AV						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW						
	AX						
	AY						
	AZ						<input type="checkbox"/> Additional References sheet(s) attached
Examiner /Fred Teskin/					Date Considered 09/24/2006		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							



SHEET 1 OF 1

Form PTO 1449 (Modified)		DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 285118US0PCT		SERIAL NO. 10/568,423	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Noboru OSHIMA, et al.			
				FILING DATE February 14, 2006		GROUP	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
FT	AA	6 455 650	9/24/2002	LIPIAN, John-Henry et al.			
FT	AB	3 330 815	7/11/1967	MCKEON, James E. et al.			
	AC						
	AD						
	AE						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
FT	AF	05-262821	10/12/1993	JP(with English abstract , equivalent of US 5 629 398 , 5 648 443 & 5 693 728)			NO
FT	AG	00/20472	4/13/2000	WO			NO
FT	AH	10-130323	5/19/1998	JP(with English abstract & equivalent of EP 0 837 079)			NO
FT	AI	2001-098035	4/10/2001	JP(with English abstract)			NO
	AJ						
	AK						
	AL						
	AM						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
FT	AN	JANIAK, Christoph et al., "The Vinyl Homopolymerization of Norbornene", Macromol. Rapid Commun., Vol. 22, Pages 479-492, 2001.					
FT	AO	SCHULTZ, Robert G., "The Chemistry of Palladium Complexes. III. The Polymerization of Norbornene Systems Catalyzed By Palladium Chloride (1)", Polymer Letters, Vol.4, Pages 541-546, 1966.					
FT	AP	BREUNIG, Stefan et al., "Transition-metal-catalyzed vinyl addition polymerizations of norbornene derivatives with ester groups", Makromol. Chem., Vol. 193, Pages 2915-2927, 1992.					
FT	AQ	SAFIR, Adam L. et al., "Living 1,2-Olefin-Insertion Polymerizations Initiated by Palladium(II) Alkyl Complexes: Block Copolymers and a Route to Polyacetylene-Hydrocarbon Diblocks", Macromolecules, Vol. 28, Pages 5396-5398, 1995.					
FT	AR	MATHEW, Joice P. et al., "( $\eta^3$ -Allyl) Palladium (II) Nitrile Catalysts for the Addition Polymerization of Norbornene Derivatives with Functional Groups", Macromolecules, Vol. 29, Pages 2755-2763, 1996.					
FT	AS	REINMUTH, Annette et al., "( $\eta^3$ -Allyl) Palladium (II) catalysts for the addition polymerization of norbornene derivatives with functional groups", Vol. 17, Pages 173-180, 1996.					
FT	AT	HEINZ, B. S. et al., "Poly (nonbornene carboxylic acid ester) s: Synthesis and Properties", Acta Polymer, Vol. 48, Pages 385-391, 1997.					
FT	AU	HEINZ, Beate S. et al., "Pd (II)-catalyzed vinylic polymerization of norbornene and copolymerization with norbornene carboxylic acid esters", Macromol. Rapid Commun., Vol. 19, Pages 251-256, 1998.					
FT	AV	GROVE, Nicole R. et al., "Functionalized Polynorbornene Dielectric Polymers: Adhesion and Mechanical Properties", Journal of Polymer Science: Part B: Polymer Physics, Vol. 37, Pages 3003-3010, 1999.					
FT	AW	HENNIS, April D. et al., "Novel, Efficient, Palladium-Based System for the Polymerization of Norbornene Derivatives: Scope and Mechanism", Organometallics, Vol. 20, Pages 2802-2812, 2001.					
FT	AX	SON, Seung Uk et al., "Use of the Pauson-Khand Reaction Products as Monomers in the Palladium (II)-Catalyzed Homopolymerization and Copolymerization of Norbornene Derivatives", Journal of Polymer Science: Part A: Polymer Chemistry, Vol. 41, Pages 76-83, 2003.					
FT	AY	LIPIAN, John et al., "Addition Polymerization of Norbornene-Type Monomers. High Activity Cationic Allyl Palladium Catalysts", Macromolecules, Vol. 35, Pages 8969-8977, 2002.					
	AZ						<input type="checkbox"/> Additional References sheet(s) attached
Examiner /Fred Teskin/					Date Considered 09/24/2006		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							



LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56	Docket No.: 285118US0PCT	Serial No.: 10/568,423
	Inventor: Noboru OSHIMA, et al.	
	Filing Date: February 14, 2006	Group: 1713

**LIST OF RELATED CASES**

<u>Examiner Initial</u>	<u>Docket No.</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent App. Publication No.</u>	<u>Inventor or Applicant</u>
FT	292116US0PCT	10/581,525	06/02/06		EBATA, et al.
FT	285118US0PCT*	10/568,423	02/14/06		OSHIMA, et al.

Examiner                      /Fred Teskin/                      Date Considered                      09/24/2006

\*Present Application; listed for information  
NFO/ach/kch